

**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL (Large Entity)**

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Docket No.

98-2069

☐ **DUPLICATE** (Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

Candotti, et al.

John S. Maples

1745

Address to:

Assistant Commissioner for Patents

Box CPA

Washington, D.C. 20231

This is a request for filing a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/432,334 filed on 11/02/99 and entitled:

ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
- a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☒ An Information Disclosure Statement (IDS) is enclosed:
- a. ☒ PTO-1449
- b. ☒ Copies of IDS Citations
6. ☒ The fee for this application is calculated as follows:

CLAIMS AS FILED

| For | #Filed | #Allowed | #Extra | Rate | Fee |
|--|--------|----------|--------|-----------|----------|
| Total Claims | 15 | - 20 = | 0 | x \$18.00 | \$0.00 |
| Indep. Claims | 3 | - 3 = | 0 | x \$84.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| 06/23/2002 AWONDAF1 00000145 09432334 BASIC FEE | | | | | \$740.00 |
| 01 FC:131 740.00 OP TOTAL FILING FEE | | | | | \$740.00 |

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
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7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to
Deposit Account No. 06-1130

- ☒ fees required under 37 C.F.R. 1.16.
☒ fees required under 37 C.F.R. 1.17.
☐ fees required under 37 C.F.R. 1.18.

8. ☒ A check in the amount of \$850.00 is enclosed.

9. ☒ Also enclosed:

Declaration from Dr. T. Danny Xiao; Figures 1 and 2; Petition of Time for One Month and Postcard

10. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: June 26, 2002

Patricia S. DeSimone

Signature

Patricia S. DeSimone

Typed or printed name

48,137

Registration Number (if applicable)

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

cc:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Guidotti, et al.

Docket No.

98-2069

Serial No.

09/432,334

Filing Date

11/2/99

Examiner

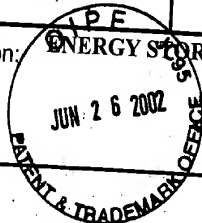
J. Maples

Group Art Unit

1745

Invention:

ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES



I hereby certify that the following correspondence:

Petition for Extension of Time; CPA; Amendment; Declaration; Figures 1 and 2; IDS; Form 1449; 27 References and Check in the amount of \$850.00 and Postcard

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 26, 2002

(Date)

Tracy A. Sweatt

(Typed or Printed Name of Person Mailing Correspondence)

Tracy A. Sweatt
(Signature of Person Mailing Correspondence)

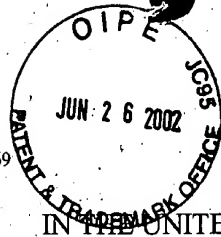
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TC 1700

NAO 98-2069



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#14
7.3.20

Applicant: GUIDOTTI ET AL.)

Serial No.: 09/432,334)

Filed: November 2, 1999)

For: ENERGY STORAGE AND CONVERSION)
DEVICES USING THERMAL)
SPRAYED ELECTRODES)

Group Art Unit:
1745

Examiner: J. Maples

AMENDMENT "C"

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This amendment is submitted in response to the Office Action dated February 26, 2002 and the Advisory Action dated April 30, 2002. Please reconsider the Application in light of the following remarks and attached Affidavit.

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JUL 01 2002
TC 1700